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**3 Key perspectives on early successional forests subject to stand-replacing disturbances**

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## 21 **Abstract**

22 In forests subject to stand-replacing disturbances, early successional stands can provide  
23 important habitats for a range of species not typically present in long-undisturbed areas.  
24 Compared to old-growth forests, the habitat values of – and key ecological processes in –  
25 early successional forests have been less studied, perhaps due to a perception that early  
26 successional forests revert to a homogenous “clean slate” following stand-replacing  
27 disturbances. In this paper, we draw on 36 years of long-term research in the Mountain Ash  
28 (*Eucalyptus regnans*) and Alpine Ash (*Eucalyptus delegatensis*) forests of south-eastern  
29 Australia, together with examples from elsewhere around the world, to show that not all kinds  
30 of early successional forests are created equal. We argue that the ecological values of early  
31 successional forests can be profoundly affected by six inter-related factors: **(1)** The  
32 evolutionary context and environmental domain of a given ecosystem. **(2)** Successional stage  
33 and condition of a forest stand prior to disturbance. **(3)** Disturbance intensity, severity and  
34 type (e.g. wildfire versus clearcutting). **(4)** Post-disturbance conditions including climate and  
35 weather. **(5)** Post-disturbance management (e.g. salvage logging) which can have significant  
36 impacts on biological legacies. And, **(6)** The relative spatial extent and spatial arrangement of  
37 early and late successional forest across a landscape. These factors can influence ecological  
38 values directly, or through effects on the types, amount and spatial patterns of biological  
39 legacies present in early successional forest. We present a conceptual model highlighting the  
40 inter-relationships between these factors and illustrate its use through a detailed case study.

41 Strategies to improve the management of early successional forests include: **(1)** Identifying  
42 the species associated with post-disturbance environments and the reasons why they occur in  
43 such environments. **(2)** Understanding the types, numbers, and spatial patterns of biological  
44 legacies that remain after natural disturbance. **(3)** Identifying critical areas that should be

45 excluded from logging or other human disturbance. **(4)** Limiting the extent of post-  
46 disturbance activities like salvage logging that undermine the ecological values of, and  
47 ecosystem processes in, early successional forests. And, **(5)** Balancing the relative amounts of  
48 early successional versus late successional forest in a given landscape or region to ensure that  
49 a variety of forest types are present at any given time, and that critical biological legacies are  
50 retained. Paradoxically, ensuring that landscapes support extensive areas of late successional  
51 forest is critical so that future early successional forests are not devoid of the biological  
52 legacies necessary for ecosystem function and recovery.

53 **Keywords:** Late successional forest, biological legacies, biodiversity, natural disturbance,  
54 wildfire, clearcutting, salvage logging, Mountain Ash forests, Alpine Ash forests, landscape  
55 traps.

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## 57 **1. Introduction**

58           Natural disturbance is an inherent part of forest ecosystems (Noble and Slatyer 1980;  
59 Attiwill 1994; Frelich 2005; Thom and Seidl 2016; Sommerfeld et al., 2018). Succession  
60 following disturbance is also a key part of vegetation dynamics in all forest ecosystems  
61 (Noble and Slatyer 1980; Slik et al., 2002; Pulsford et al., 2016; Chang and Turner 2019).  
62 Indeed, a huge literature has developed around succession as part of vegetation theory  
63 (Frelich 2005; Johnson and Miyanishi 2008; Pulsford et al., 2016; DellaSala et al., 2017).  
64 While much discussion of forest conservation has focused on intact old growth (or late  
65 successional) forest (Franklin et al., 1981; Watson et al., 2018), early successional  
66 environments are increasingly recognised as being important for biodiversity (Hutto 2008;  
67 Swanson et al., 2011; Swanson et al., 2014). Some species are strongly associated with the  
68 initial stages of post-disturbance recovery and are rare or even entirely absent from other,  
69 older, stages of development (Heyborne et al., 2003; Hutto 2008; Swanson et al., 2011; Hutto  
70 et al., 2016). Nevertheless, the habitat values of, and key ecological processes in, early  
71 successional forests have received limited study in many ecosystems (Hutto 1995; Swanson  
72 et al., 2011; Swanson et al., 2014). Indeed, many of the temporal and spatial factors that  
73 promote or undermine the ecological values of early successional environments remain  
74 poorly understood.

75           In this paper, we discuss key factors affecting the ecological values of early  
76 successional forests subject to stand-replacing natural disturbances. Our particular focus is on  
77 forests where the dominant disturbances are wildfire and logging, given the considerable  
78 challenges of managing these ecosystems to both conserve biodiversity and maintain timber  
79 harvesting operations (Simon et al., 2002; Van Wilgenburg and Hobson 2008; Keeley and  
80 Pausas 2019). Early successional forests are sometimes perceived as being homogenous and

81 viewed as a “clean slate” following stand-replacing disturbances (Noble and Slatyer 1980).  
82 However, not all early successional forest ecosystems are created equal. Between-stand  
83 variation in the habitat and other ecological values of early successional forests can occur for  
84 a range of reasons that we explore in detail below.

85         One key element influencing the ecological attributes of disturbed forest is the type,  
86 number and spatial pattern of biological legacies carried over from a previous stand to a post-  
87 disturbance regenerating stand (Franklin and MacMahon 2000; Dale et al., 2003; Swanson et  
88 al., 2011; Donato et al., 2012). Biological legacies are broadly defined as: *the living and dead*  
89 *structures and organisms remaining after disturbance that can influence the recovery of the*  
90 *post-disturbed environment* (Franklin et al., 2000). They can include living and dead trees,  
91 shrubs and other plants, living animals, animal carcasses, seeds, spores, fungi, eggs and soil  
92 communities (Franklin et al., 2000; Stahlheber et al., 2015). Biological legacies can have  
93 profound effects on habitat suitability of early successional stands for many species (Hutto et  
94 al., 2015) as well as influence ecosystem processes like carbon storage and nutrient cycling  
95 (Harmon et al., 1986; and see Keith et al., 2014a). Indeed, some species may continue to  
96 persist within disturbed areas only because of the legacies remaining after disturbances  
97 (Hutto 1995; Franklin and MacMahon 2000; Swanson et al., 2011). Where species are  
98 extirpated by fire or logging, the ongoing presence of biological legacies also may facilitate  
99 rapid colonization of disturbed sites, relative to areas where biological legacies are rare  
100 (Franklin et al., 2000; Hutto 2008). The available evidence suggests that effects of biological  
101 legacies are both important and widespread, with several reviews documenting the many  
102 species that are strongly associated with legacies – such as deadwood – that can be created by  
103 natural disturbances (e.g. Fischer and McClelland 1983; Harmon et al., 1986; Rose et al.,  
104 2001; Lindenmayer and Franklin 2002; Thorn et al., 2017; Thorn et al., 2018).

105 A variety of landscape and site-level factors can strongly influence the ecological  
106 values of early successional forests, both through effects on biological legacies, and via more  
107 direct pathways (Donato et al., 2012). First, the evolutionary and environmental context of a  
108 given ecosystem constrain the spatiotemporal availability of habitats within which a suite of  
109 early successional species may occur and evolve (Hutto et al., 2015). Second, the pre-  
110 disturbance age of a perturbed forest (as reflected by the time elapsed since the previous fire)  
111 will have a substantial influence on the ecological values of the post-disturbance forest (e.g.  
112 (Raphael and Morrison 1987; Smucker et al., 2005; Saab et al., 2007; Kemp et al., 2019);  
113 effects which will be manifested through the biological legacies carried from a pre-  
114 disturbance stand to a post-disturbance stand (ecological continuity). Disturbances in late  
115 successional forests will often produce more biological legacies (including seeds) than where  
116 early successional forests are disturbed. In addition, many biological legacies (e.g. standing  
117 dead trees) that are created when late successional forests burn will be larger, and persist  
118 longer than, legacies created following disturbance in a younger forest. This provides a  
119 continuity of complexity when early successional habitats are created from the disturbance of  
120 late successional stands (Franklin et al., 2000; Donato et al., 2012). Third, disturbance type  
121 will have a fundamental influence. For example, areas regenerating after high-intensity  
122 clearcut logging will generally support fewer biological legacies relative to stands recovering  
123 following natural disturbances such as wildfire (McLean et al., 2015; Kemp et al., 2019;  
124 Turner et al., 2019). Fourth, post-disturbance conditions such as drought, temperature and  
125 wind speeds can affect the survival and persistence of legacies such as seeds, fungal spores  
126 and standing trees, as well as the growth and survival of recovering and recolonising species.  
127 Fifth, post-disturbance management practices such as salvage logging (Thorn et al., 2017;  
128 Leverkus et al., 2018), or repeated natural disturbances at short intervals, can erode the  
129 ecological values of early successional forest, in part through undermining the important

130 roles and functions provided by biological legacies. Finally, the relative spatial extent of early  
131 and late successional forest across a landscape can influence key ecological processes and  
132 ultimately the habitat values and sizes of populations of biota in early successional forests.

133         We propose a conceptual model that highlights the inter-relationships between the key  
134 factors which influence the habitat values and ecosystem processes within early successional  
135 forests (Fig. 1). We illustrate the effects of these factors using examples from a range of  
136 forest types around the world where stand-replacing disturbances occur. We draw extensively  
137 on insights from 36 years of long-term research and monitoring in the Mountain Ash  
138 (*Eucalyptus regnans*) and Alpine Ash (*Eucalyptus delegatensis*) forests of the Central  
139 Highlands of Victoria, south-eastern Australia. The primary forms of natural and human  
140 disturbance in these forests are wildfire and clearcut logging, respectively (Flint and Fagg  
141 2007; Taylor et al., 2014). Such stand-replacing disturbance dynamics in Mountain Ash and  
142 Alpine Ash forests are similar to those which characterize a wide range of other wet forest  
143 types globally (Frelich 2005; Sommerfeld et al., 2018) (e.g. Douglas-Fir [*Pseudotsuga*  
144 *menziesii*] (Franklin et al., 2002; Phalan et al., 2019) and boreal forests (Burton et al., 2003;  
145 Bergeron et al., 2006)). However, stand-replacing forest dynamics are uncommon in the  
146 majority of other forest ecosystems within Australia where dominant trees survive fire  
147 through recovery mechanisms such as epicormic growth and/or growth from lignotubers  
148 (Chattaway 1958; Bradstock et al., 2012).

## 149 **2. Background – empirical studies in Mountain Ash and Alpine Ash forests**

150         The insights we outline in this paper are derived from long-term studies in the  
151 Mountain Ash and Alpine Ash forests of the Central Highlands of Victoria, in south-eastern  
152 Australia. This 60 km x 80 km area is approximately 100 km north-east of Melbourne.  
153 Mature trees in Mountain Ash forest commonly reach heights of ~ 65+ metres (Ashton 1975).

154 Alpine Ash is also a spectacular tree with mature individuals approaching 60 metres in height  
155 (Boland et al., 2006). Both species in the Central Highlands region are obligate seeders,  
156 meaning that wildfires often kill trees and the forest regenerates from canopy stored seed  
157 (Smith et al., 2014), typically creating even-aged cohorts of trees (Ashton 1981). Parts of the  
158 Central Highlands region has been subject to a series of wildfires in the past century  
159 including those in 1926, 1932, 1939, 1983, 2009 and, most recently, 2019 (Lindenmayer et  
160 al., 2019a).

161 Clearcutting is the primary form of human disturbance in Mountain Ash forests (Flint  
162 and Fagg 2007) and, like wildfire, creates even-aged cohorts of post-disturbance  
163 regeneration. The nominal rotation time between clearcutting operations is 80 years, although  
164 analyses of government mapping shows much of the potentially loggable forest has been  
165 harvested well before this age (Keith et al., 2017). Prior to the deployment of clearcut  
166 harvesting, Mountain Ash and Alpine Ash were subject to widespread selective harvesting  
167 with substantial amounts of timber cut from these forests over the past 120+ years (Griffiths  
168 2001). Indeed, approximately a century ago, over 240 sawmills operated in the Central  
169 Highlands region (Commonwealth of Australia and Department of Natural Resources and  
170 Environment 1997). Now just six sawmills operate in our study region.

171 Currently, late successional Mountain Ash and Alpine Ash forest is uncommon. An  
172 estimated 98% of the Mountain Ash estate and 99.5% the Alpine Ash estate comprises forest  
173 with an overstorey that is <80 years old (Lindenmayer and Sato 2018). In the case of  
174 Mountain Ash forests, late successional forest (exceeding 120 years old) may have comprised  
175 up to 30-60% of the estate at the time of European settlement and prior to the onset of  
176 widespread logging operations and recurrent wildfires (Lindenmayer and McCarthy 2002).



177           Between 1983 and 2019, we established 181 long-term field sites as well as 100  
178 logging experiment sites throughout the Mountain Ash and Alpine Ash forests in the  
179 Victorian Central Highlands region. These sites spanned a range of forest age classes ranging  
180 from 10 to 300+ years old at the time they were established. They also span a wide range of  
181 environmental conditions, including sites on steep slopes and flatter terrain, at low and high  
182 elevations, and areas subject to different numbers of disturbance events. Approximately half  
183 of our sites burned in a major wildfire in 2009. These sites have been the target of studies of  
184 mammal, bird and plant responses to disturbance, as well as investigations of carbon storage  
185 and nutrient cycling, providing detailed insights into the biodiversity and other ecological  
186 dynamics of early succession.

187           Large wildfires and logging are stand-replacing disturbances in Mountain Ash and  
188 Alpine Ash forests, and biological legacies are therefore critical to the ecological value of  
189 early successional stages of these forests. Biological legacies that persist on burned sites after  
190 high-severity fire include: **(1)** Large old living and standing dead hollow-bearing trees  
191 (Lindenmayer et al., 2016; Lindenmayer et al., 2018a). **(2)** Fallen trees and coarse woody  
192 debris (Lindenmayer et al., 1999b). **(3)** Large old tree ferns that can exceed 350 years of age  
193 (Mueck et al., 1996; Blair et al., 2017). **(4)** Resprouting vascular plants (e.g. Musk Daisy  
194 Bush [*Olearia argophylla*]) (Blair et al., 2016). **(5)** An array of species of bryophytes (Pharo  
195 et al., 2013). **(6)** Plant seeds, fungal spores, nutrients and other components that persist within  
196 the soil (Bowd et al., 2019). And, **(7)** Living animals such as the Mountain Brushtail Possum  
197 (*Trichosurus cunninghami*), Bush Rat (*Rattus fuscipes*) and Agile Antechinus (*Antechinus*  
198 *agilis*) (Banks et al., 2011a; Banks et al., 2011b). Several of these biological legacies are  
199 known to affect the occurrence of rare or endangered species that use Mountain Ash and  
200 Alpine Ash forests. For example, our field data shows that the Critically Endangered  
201 Leadbeater's Possum (*Gymnobelidues leadbeateri*) can sometimes colonize forest within a

202 decade of a major disturbance (Lindenmayer et al., unpublished data) if the regenerating  
203 stands support sufficient numbers of large old hollow-bearing trees for denning and nesting  
204 (Lindenmayer et al., 1991b). This species, and other cavity-dependent taxa, are generally  
205 absent from early successional forests if biological legacies like large old trees do not occur  
206 (Lindenmayer et al., 1991b; Lindenmayer et al., 2014a). In such places, it may be 170+ years  
207 before trees eventually develop the kinds of cavities that will provide potentially suitable  
208 habitat for hollow-using animals (Lindenmayer et al., 2017a). Hence, the presence of  
209 biological legacies can accelerate post-disturbance colonization by some species by up to 160  
210 years.

### 211 **3. Factors influencing ecological values of early successional forests**

212 As described in our conceptual model (Fig. 1), we suggest that six factors influence the  
213 ecological values of early-successional forest, both directly, and through effects on biological  
214 legacies. Below we describe these factors and their interactions in detail.

#### 215 ***3.1 Evolutionary boundaries for early successional forests and associated biota***

216 We suggest that the assemblage of early successional species in any given ecosystem  
217 will be shaped by the evolutionary context of that environment. That is, the prevalence of  
218 early successional specialist species will be associated with opportunities for the evolutionary  
219 development of such species (Poisot et al., 2011). These opportunities will likely be  
220 maximized where early successional forests are spatially extensive, persist for prolonged  
221 periods (before canopy closure), recur frequently, or all of these. They also may be more  
222 prevalent where adjacent open habitats such as grassland or shrubland (which may provide  
223 similar niche space to early successional forest) act as source populations of early  
224 successional specialist species. Conversely, we suggest few early successional specialists are

225 likely to evolve in narrowly distributed forest ecosystems where stand-replacing disturbances  
226 are spatially and temporally rare (Poisot et al., 2011), and where neighbouring habitats are  
227 not open, or prone to stand-replacing disturbances.

228         Early successional specialists are rare in Mountain Ash and Alpine Ash forests. This  
229 paucity of early successional specialists is in marked contrast to many other forest  
230 ecosystems prone to stand-replacing disturbances, where early successional species can be  
231 relatively common (Swanson et al., 2014; Hutto et al., 2015). These include upland forests of  
232 south-eastern USA, the Douglas-Fir forests of the Pacific Northwest of the USA, and the  
233 boreal forests of Canada and elsewhere in the Northern Hemisphere (Angelstam 1998; Burton  
234 et al., 2003; DeGraaf et al., 2003; Klaus et al., 2010a; Klaus et al., 2010b; Swanson et al.,  
235 2011; Swanson et al., 2014). Of the more than 70 bird species inhabiting Mountain Ash and  
236 Alpine Ash forests, populations of only one species, the Flame Robin (*Petroica phoenicea*),  
237 increases significantly in recently burned areas (Lindenmayer et al., 2014b; Lindenmayer et  
238 al., 2019b). For the mammal community which comprises ~20 species, only the exotic House  
239 Mouse (*Mus musculus*) is common in early successional forests and is almost never recorded  
240 in older forests (Lindenmayer et al., 1994a).

241         In Mountain Ash and Alpine Ash forests, the natural fire regime is a high-severity  
242 stand-replacing conflagration on average every 107 years (McCarthy et al., 1999), but the  
243 time from disturbance to canopy closure of the regenerating stand is just 2-3 years (Blair et  
244 al., 2016). It seems somewhat paradoxical that a forest ecosystem which supports the world's  
245 tallest flowering plants and is subject to stand-replacing fire can be characterized by canopy  
246 closure within three years of a major perturbation. The reasons for the evolution of such  
247 dynamics remain unknown, but are likely related to high growth rates and reproductive  
248 output in ash species. Relative to many other areas in Australia that are dominated by other

249 kinds of eucalypt forests, Mountain Ash forests grow in areas characterized by high levels of  
250 rainfall and deep fertile soils, which can promote rapid tree growth (Ashton 1975;  
251 Lindenmayer et al., 1996). A related explanation may be that Mountain Ash trees can  
252 produce prolific amounts of seed, especially mature and old trees. High seed production and  
253 high rates of post-disturbance germination, coupled with conditions conducive to rapid tree  
254 growth, may therefore result in extreme competition for light, leading to rapid canopy closure  
255 (and subsequent mortality of sub-dominant trees). In this sense, Mountain Ash functions both  
256 as a pioneer and a late successional tree species.

257         The broader regional context of response strategies to disturbance also may explain  
258 the paucity of early successional specialists in Mountain Ash and Alpine Ash forests.  
259 Ecosystems adjacent to Mountain Ash and Alpine Ash forest are forests dominated by  
260 eucalypts that do not exhibit stand-replacing disturbance dynamics. Rather, many canopy  
261 trees and understory plants damaged by fire are not killed, and resprout rapidly from  
262 epicormic buds in the trunk, or from underground lignotubers, thereby skipping the  
263 conventional early successional stage of a stand replacing forest. Such areas would therefore  
264 be unlikely to provide a source of early successional specialist species to disperse into  
265 adjacent Mountain Ash and Alpine Ash ecosystems.

### 266 *3.2 Effects of pre-disturbance stand conditions on the ecological values of early-* 267 *successional forest*

268         Many between-stand differences in the ecological value of early successional forests  
269 are underpinned by differences in the quantity, type and spatial distribution of biological  
270 legacies from the previous stand (Franklin et al., 2000). The prevalence and type of biological  
271 legacies can, in turn, be strongly affected by the age and condition of a forest at the time of a  
272 disturbance (Donato et al., 2012; see Fig. 2). For example, the effects of disturbance in a

273 young forest may be markedly different to the effects of a similar kind of disturbance in an  
274 old forest. (Hutto 1995) showed that in North America, pre-fire stand conditions had  
275 substantial impacts on stand suitability post-fire for species such as the Black-backed  
276 Woodpecker (*Picoides arcticus*). In Mountain Ash and Alpine Ash ecosystems, early  
277 successional forests that develop in areas which were previously late successional stands will  
278 support more fire-damaged large old trees than early successional stands regrowing where  
279 young stands were perturbed. Larger, older trees at the time of a fire also have a greater  
280 chance of surviving fire (Lindenmayer et al., 1991a) and contribute to the development of  
281 stands characterized by multiple age cohorts of trees (Lindenmayer and McCarthy 1998). In  
282 addition, the fire-damaged trees in burned late-successional forests will be larger in diameter  
283 than fire-killed trees in young burned stands. Large diameter dead trees remain standing for  
284 significantly longer than small diameter dead trees (Lindenmayer et al., 1997). Such  
285 differences matter because the prevalence of large old trees and long-lived tree ferns are key  
286 components of habitat suitability for a range of faunal species in Mountain Ash and Alpine  
287 Ash ecosystems (Lindenmayer et al., 1994b; Lindenmayer et al., 2014a). Similarly, after a  
288 large wildfire in 2009, sites that were previously long-unburnt had greater soil nutrients than  
289 younger forests (Bowd et al., 2019). In another example, the abundance of germinants  
290 following wildfire in Mountain Ash forests is significantly lower when a young stand has  
291 been burned in comparison to areas that were previously late successional forests when  
292 burned (Smith et al., 2014). It is likely that greater flowering and seed production in large old  
293 trees relative to smaller, younger trees (Ashton 1975; Wenk and Falster 2015), as well as  
294 differences in soil nutrients underpin such differences in germination dynamics following  
295 wildfire.

296           The condition of a stand prior to a disturbance also can affect early successional  
297 forests by influencing the severity of the disturbance that occurs (Fig. 1). For example, young

298 regenerating forests with densely spaced trees can be at significantly greater risk of reburning  
299 at higher severity than late successional stands (Thompson et al., 2007). Conversely, fire  
300 severity is typically lower in late successional stands (e.g. Zald and Dunn 2017). Such kinds  
301 of relationships between stand age and the probability of crown-scorching wildfire have been  
302 documented for both Mountain Ash forests (Taylor et al., 2014) and Alpine Ash forests  
303 (Zylstra 2018). This, can, in turn, influence the types and abundance of biological legacies in  
304 disturbed stands.

305         In summary, stand conditions prior to a disturbance can have profound effects on the  
306 severity of a disturbance and, in turn, the characteristics of a post-disturbance stand,  
307 especially the prevalence of biological legacies like large old trees and long-lived understorey  
308 elements (e.g. tree ferns) (Fig. 2).

### 309 ***3.3 Effects of the type, severity and timing of disturbance***

310         The severity of disturbance can have profound impacts on the ecological value of, and  
311 ecological processes in, early successional forests. High-severity disturbances such as  
312 wildfires will (by definition; *sensu* Keeley, 2009) consume more of the original stand than  
313 low-severity disturbances, typically leaving fewer biological legacies (although large  
314 quantities of deadwood can be produced). However, even high-severity fires may consume  
315 less than 20% of the biomass of a pre-disturbance stand (Keith et al., 2014a). Disturbances  
316 that are largely non-consumptive like windstorms will typically leave behind more legacies  
317 than perturbations such as wildfires (Lindenmayer and Franklin 2002). Floods can bring  
318 significant extra inputs to forest environments such as sediment and coarse woody debris  
319 which can reshape such perturbed ecosystems (Gregory 1997; Major et al., 2019). Variation  
320 in the severity of disturbances also can have marked impacts on the biodiversity that can

321 persist in early successional forest (Smucker et al., 2005; Kotliar et al., 2007; Fontaine and  
322 Kennedy 2012; Rush et al., 2012; Hutto and Patterson 2016).

323         Studies of Mountain Ash and Alpine Ash forests have revealed marked differences in  
324 the responses of different groups of biota to fires of low, moderate and high severity. These  
325 include birds (Lindenmayer et al., 2014b), arboreal marsupials (Lindenmayer et al., 2013b),  
326 and large old trees (Lindenmayer et al., 2012). Stands of Mountain Ash and Alpine Ash  
327 subject to low to moderate severity wildfire can leave behind fire-scarred large trees, some of  
328 which may survive a conflagration, leading to the development of multi-aged stands  
329 (Lindenmayer and McCarthy 1998). Such stands can become, in turn, important areas for  
330 biodiversity. For example, they typically support the highest diversity of arboreal marsupials  
331 (Lindenmayer et al., 1991b).

332         The type of disturbance can have a marked effect on early successional forest  
333 ecosystems. For example, fire-generated early successional forest has some fundamentally  
334 different stand structural and plant species compositional characteristics relative to early  
335 successional forest regenerating after logging operations (Hutto 1995; Lindenmayer and  
336 Franklin 2002; McLean et al., 2015; Hutto et al., 2016) In the case of Mountain Ash and  
337 Alpine Ash forests, wildfires consume approximately 11-14% of the above-ground biomass  
338 on a site (Keith et al., 2014a). In contrast, 40% of the biomass of the original stand is taken  
339 off-site as logs during harvesting operations, with a further 30% volatilized in high-intensity  
340 fires lit to promote the regeneration of cutblocks (Keith et al., 2014b). Differences between  
341 fire and logging can have other effects on post-disturbance stand conditions in Mountain Ash  
342 and Alpine Ash forests. These include differences in: **(1)** Soil nutrients and the structural  
343 attributes of soils (Bowd et al., 2019). **(2)** Plant community composition, especially  
344 resprouting and on-site seeding taxa (Blair et al., 2016; Bowd et al., 2018). As an example,

345 there is a 96% reduction in the abundance of tree ferns in logged areas relative to burned  
346 forests (Blair et al., 2016) and this affects food sources for animals (Lindenmayer et al.,  
347 1994b) as well as substrates for epiphytic plants (Pharo et al., 2013).

### 348 ***3.4 The influence of post-disturbance environmental conditions***

349 The ecological values of early successional forests can be strongly influenced by  
350 environmental conditions such as weather and climate during the post-disturbance recovery  
351 (Kemp et al., 2019). For example, warming and drying conditions increased levels of  
352 regeneration failure among Lodgepole Pine (*Pinus contorta*) and Douglas-Fir seedlings  
353 following fire (Hansen and Turner 2019). Major disturbances like large, severe wildfires that  
354 remove extensive areas of canopy can open up forests to greater wind speeds (Gratkowski  
355 1956; Schwartz et al., 2017), altering microclimatic conditions in early successional forests  
356 (Rosenberg et al., 1983), and influencing the persistence and survival of legacies (McKenzie  
357 et al., 2011; Lindenmayer et al., 2018a).

358 The effects of post-disturbance environmental conditions have been observed in  
359 Mountain Ash forests. For example, following the 2009 wildfire, seedling density in early  
360 successional forests increased with annual precipitation and with decreasing temperature. It  
361 also increased with increasing soil moisture availability, particularly when plants began to  
362 exceed 50 cm in height (Smith et al., 2016). We have documented other effects of post-  
363 disturbance environmental conditions in Mountain Ash and Alpine Ash forests. For example,  
364 recent work has shown there are important interactions between long-term climate and short-  
365 term weather on the post-fire recovery of key groups of biota such as birds). Post-fire, bird  
366 recovery is impaired on sites characterized by long-term cool and wet conditions  
367 (Lindenmayer et al., unpublished data).



### 368 ***3.5 Impacts of post-disturbance management on habitat suitability and ecosystem processes***

369           The habitat value of early successional forest can be affected not only by stand  
370 conditions prior to disturbance and the severity and type of disturbance, but also management  
371 practices following disturbance (Fig. 2). For example, post-disturbance salvage logging  
372 operations can remove key legacies such as large old fire-killed trees, insect-damaged trees  
373 and fallen deadwood, thereby impairing the habitat value of recovering stands for a wide  
374 range of biotic groups (Hutto 2006; Leverkus et al., 2018; Thorn et al., 2018). Finally, post-  
375 fire salvage logging can increase the risk of further fire in young forests (Donato et al., 2006).  
376 The patches of unburned vegetation remaining after wildfires are another key type of  
377 biological legacy that has significant values but which can be undermined by post-  
378 disturbance management activities such as “black-out burning”. This is where patches of  
379 unburned vegetation in otherwise burned landscapes are subsequently targeted for burning by  
380 fire managers (Backer et al., 2004). The loss of unburned “green areas” can have major  
381 negative effects on biota dependent on post-fire refugia (Mackey et al., 2012).

382           The effects of post-disturbance management have been well documented in Mountain  
383 Ash forests including those on large old trees, understorey and midstorey vascular plants and  
384 ferns (Blair et al., 2016; Bowd et al., 2018) and birds (Lindenmayer et al., 2018c). Some of  
385 these impacts can be long lasting. For example, in Mountain Ash forests, the negative effects  
386 of salvage logging on the structure and nutrient status of soils may persist for at least 80 years  
387 (Bowd et al., 2019). Similarly, if large old trees are removed in salvage logging operations,  
388 the recruitment of new cohorts of such trees may require almost two centuries because of the  
389 prolonged time required for such trees to develop (Lindenmayer et al., 2017a).

390 ***3.6 The importance of spatial context and maintaining different forest ages at landscape***  
391 ***and regional scales***

392 The spatial extent of early successional forest can have profound impacts on entire  
393 forest ecosystems. Early successional forests in some ecosystems can be prone to high-  
394 severity wildfire (Thompson et al., 2007; Lindenmayer et al., 2009; Taylor et al., 2014;  
395 Zylstra 2018). If early successional forests occupy a high proportion of the landscape, then  
396 the whole ecosystems, including surrounding areas of older forest, can be prone to repeated  
397 fire at short time intervals due to high fuel densities in young forests (Taylor et al., 2014;  
398 Zylstra 2018). This comes with corresponding risks of developing into a “landscape trap” in  
399 which forests become trapped at a young age because repeated fire prevents stands from  
400 becoming old (Lindenmayer et al., 2011). If fire becomes too frequent, then a regime shift  
401 may occur (*sensu* Carpenter et al., 2011) in which the original ecosystem is lost and replaced  
402 by a different kind of forest ecosystem (Lindenmayer and Sato 2018).

403 There can be other spatial effects associated with extensive areas of early successional  
404 forest. These include significantly reduced water yields and levels of carbon storage from  
405 watersheds dominated by large areas of early successional forest (Vertessy et al., 2001; Keith  
406 et al., 2017; Taylor et al., 2019). Other effects of early successional forest occurring across a  
407 high proportion of total forest cover include declines in species associated with older or intact  
408 forest (Gibson et al., 2011, reviewed by Watson et al., 2018). In the case of Mountain Ash  
409 and Alpine Ash forests, rates of mortality and collapse of large trees are significantly elevated  
410 in landscapes characterized by large amounts of early successional logged or burned forest  
411 (Lindenmayer et al., 2016; Lindenmayer et al., 2018a; Lindenmayer et al., 2018b). Species  
412 such as the Yellow-bellied Glider (*Petaurus australis*) are uncommon or absent from  
413 Mountain Ash and Alpine Ash landscapes dominated by large areas of early successional

414 forest (Lindenmayer et al., 1999a). Also in Mountain Ash and Alpine Ash forests, bird  
415 species richness and the occurrence of almost all individual species of birds is significantly  
416 depressed in landscapes dominated by large areas of burned and/or logged forest  
417 (Lindenmayer et al., 2019b). Moreover, bird species richness and the occurrence of individual  
418 species is substantially lower relative to late successional forest (Lindenmayer et al., 2019b).  
419 This result suggests that the spatial extent of early versus late successional forest may  
420 influence the size and location of source populations of particular species able to recolonize  
421 areas after disturbance (Lindenmayer et al., 2019b).

422         The above examples indicate a need to consider the relative amounts and spatial  
423 patterns of early successional and late successional forest across broader landscapes and even  
424 entire ecosystems. This is especially true when: **(1)** There are risks that a spatial imbalance of  
425 one age cohort might dramatically alter key ecological processes fundamental to the  
426 persistence of an ecosystem (and the biota it supports). And, **(2)** The age of a forest  
427 influences the type, number and extent of biological legacies in a newly disturbed stand. In  
428 the Mountain Ash forests of the Central Highlands of Victoria, the presence of large areas of  
429 late successional forest will be critical to ensuring that where forests are disturbed, they will  
430 subsequently become early successional stands with high values for biodiversity and  
431 ecosystem function.

#### 432 **4. Recommendations for management**

433         We suggest there are four key strategies to enhance the management and conservation  
434 of early successional forests. Some of these will be short-term actions such as limiting the  
435 extent and intensity of post-disturbance (salvage) logging, whereas others will be long-term  
436 strategies like ensuring the development of late successional forest to produce greater pulses  
437 of key biological legacies in the event of a major perturbation.

#### 438 *4.1 Identify species typically associated with early successional forests*

439           The number and diversity of species associated with early successional environments  
440 can vary markedly between different forest ecosystems (Hutto et al., 2015). For example, the  
441 wet ash-type eucalypt forests of Victoria, Australia that we have described in this paper differ  
442 in some respects from the Douglas-Fir forests of the Pacific Northwest of the USA (Franklin  
443 et al., 2002; Swanson et al., 2011; Swanson et al., 2014). Therefore, a key part of managing  
444 early successional forests is to identify the suite of species that are confined to, or closely  
445 associated with, early successional forests (Hutto et al., 2015). Part of such assessments  
446 would involve determining whether early successional specialists are obligate users of early  
447 successional forest or are facultative taxa that can make use of other age cohorts (albeit  
448 potentially at lower abundance) (Hutto 1995). Notably, some species that are strongly  
449 associated with early successional environments can experience severe negative impacts from  
450 post-disturbance management practices such as salvage logging (Hutto 2006). There also is  
451 value in determining how well patterns of early successional response conform to different  
452 ecological theories about the trajectory of post-disturbance response (Donato et al., 2012)  
453 (e.g. Initial Floristic Composition versus Relay Succession; reviewed by Pulsford et al.,  
454 2016).

455           Different approaches to management may well be required where communities of  
456 early successional specialists are species-rich in comparison to ecosystems with few such  
457 species. For example, where species are rare, targeted species-specific management strategies  
458 may well be effective. More complex sets of multi-faceted approaches and/or more general  
459 habitat-based approaches might be needed where species-rich assemblages are confined to  
460 early successional forests.

461 ***4.2 Document the types, distribution and roles of biological legacies in early successional***  
462 ***forests***

463           It is important to document and study the types, numbers and distribution patterns of  
464 biological legacies in early successional forests given the range of key roles they play such as  
465 in stand regeneration, biodiversity recovery, and the maintenance of key ecological processes.  
466 Moreover, biological legacies provide for a continuum of habitat suitability over time as, for  
467 example, the structures remaining after late successional forests are disturbed strongly affect  
468 habitat suitability in subsequent early successional forest (Franklin et al., 2000). Such  
469 information is also important for determining the types, numbers and patterns of biological  
470 legacies that need to be retained in forests subject to logging operations such as Variable Retention  
471 harvesting (Fedrowitz et al., 2014). That is, prescriptions for Variable Retention harvesting  
472 that govern what structures and patches to leave behind during logging should be informed by  
473 what biological legacies characterize early successional stands following natural disturbance.  
474 These include prescriptions for the amount of deadwood left in a forest (Müller and Bütler  
475 2010; Thorn et al., 2016; Thorn et al., 2017), as well as those for the number of retained  
476 overstorey trees and patches of understorey and ground cover.

477           As the value of early successional forests is influenced by biological legacies and  
478 these are, in turn, a function of the state of a pre-disturbance stand, many ecosystems will  
479 need to be managed in ways to ensure the occurrence of large areas of late successional forest  
480 across landscapes and regions. This is critical to ensure better ecological functionality of  
481 post-disturbance environments. Indeed, extensive areas of late successional forest are needed  
482 because when they do burn, they may be the only places that support suitable early  
483 successional conditions for particular disturbance-associated species. However, extensive  
484 areas of intact late successional forest are now rare in many forest ecosystems globally

485 (Mackey et al., 2015; Watson et al., 2018), and special protection strategies may be required  
486 to expand their coverage. This may be particularly important in ecosystems where the amount  
487 of late successional forest has been significantly depleted relative to historical levels. The  
488 Mountain Ash ecosystem in Victoria is a good example, with late successional stands  
489 covering 1/30<sup>th</sup>-1/60<sup>th</sup> of what they did ~150 years earlier (Burns et al., 2015, Lindenmayer et  
490 al., 2019a). Strategies to significantly expand the extent of late successional forest in the  
491 future through enhanced protection policies have been recommended as part of forest  
492 landscape restoration in the Mountain Ash ecosystem (Lindenmayer 2018). The amount of  
493 forest set aside may need to be substantial. For example, if an objective is to reach a pre-  
494 determined target of 30% of the ecosystem being late successional forest (Leadbeater's  
495 Possum Advisory Group 2014); then up to 50% or more may need protection from human  
496 disturbance as some forest will inevitably be lost in the interim as a result of wildfire  
497 (Lindenmayer et al., 2013a).

#### 498 ***4.3 Limit management practices that can negatively affect biological legacies***

499 How early successional forests are managed in the recovery phase following natural  
500 disturbance can have profound effects on their ecological values. Post-disturbance activities  
501 like salvage logging can have long-term negative impacts on biological legacies such as large  
502 old trees, long-lived understorey plants, soil conditions, and key groups of biota  
503 (Lindenmayer et al., 2017b; Leverkus et al., 2018; Thorn et al., 2018). Salvage logging  
504 operations should be excluded wherever possible to limit undermining the values of early  
505 successional environments (Lindenmayer et al., 2017b; Leverkus et al., 2018; Thorn et al.,  
506 2018) . In the case of the Mountain Ash and Alpine Ash forests of Victoria, past work has  
507 shown that places that supported high levels of bird species richness prior to fire also were  
508 likely to be comparatively more species-rich after fire, even where a high-severity

509 conflagration has occurred (Lindenmayer et al., 2014b). To maintain their ecological values,  
510 post-fire salvage logging operations should not occur in such places.

511         Where salvage logging operations do take place, their intensity should be limited to  
512 ensure adequate retention of biological legacies and to minimise disturbance of soils and  
513 plants regenerating after fire. Prescriptions for salvage logging should be guided by the type  
514 and spatial and temporal abundance of biological legacies typically found in naturally  
515 disturbed early successional forest. Critically, as is common practice for harvesting of  
516 unburnt forest, unharvested blocks of forest should be retained within areas otherwise  
517 targeted for harvesting following natural disturbances.

518 ***4.4 Consider how extensive areas of early successional forest may alter key ecosystem***  
519 ***processes***

520         There can be marked differences in key ecosystem processes between early  
521 successional forests and late successional forests. These can include differences in  
522 disturbance dynamics such as fire regimes (Zylstra 2018), plant responses to disturbance, tree  
523 germination, and tree mortality. Such differences in processes can, in some cases, threaten the  
524 long-term integrity of ecosystems and even whether such environments continue to persist  
525 (Lindenmayer and Sato 2018). These changes in ecosystem processes would, in turn, have  
526 major effects on ecosystem service provision such as water production, timber production,  
527 and carbon storage (Lindenmayer and Sato 2018). The risk of regime shifts may be  
528 particularly acute where early successional forests are widespread, late successional forests  
529 are rare (but were once extensive), and problems like landscape traps may manifest  
530 (Lindenmayer et al., 2011). The spatial extent of early versus late successional forest can  
531 therefore become a key consideration for managers, including ensuring there is not too little  
532 or too much of a given age cohort across a landscape. We note, however, that in some

533 regions, naturally characterized by infrequent but very large fires, huge pulses of early-seral  
534 (composing >30% of a large regional landscape) may be the norm under historical conditions  
535 at certain points in time. The Pacific Northwest of the USA is one example; another is part of  
536 the Greater Yellowstone Ecosystem (Turner et al., 2003).

537         Considerations of the spatial extent of different age cohorts of forest highlight the  
538 need not only for site-level, but also landscape-scale perspectives on early successional  
539 forest. They also underscore an apparent paradox that the maintenance of functional, early  
540 successional forests, may be dependent on ensuring that landscapes support extensive areas  
541 of late successional forest prior to the occurrence of natural disturbance. This key point has  
542 critical temporal dimensions, as it can take a prolonged period for late successional forest to  
543 develop, but only a very short period to be converted to early successional stands.

## 544 **5. Conclusions**

545         Early successional forest is an important stage in forest ecosystems in many parts of  
546 the world, especially those where the natural disturbance regime can include stand-replacing  
547 disturbance events. Early successional forests can support a range of species not found in, or  
548 which are rare in, other age cohorts of forest. Habitat values and key ecosystem functions  
549 (e.g. carbon storage) in early successional forests can be profoundly affected by the age of a  
550 forest at the time it is disturbed. Disturbances in late successional forests will often produce  
551 more biological legacies (that persist for longer) relative to when young forests are perturbed.  
552 The presence of biological legacies can facilitate the persistence of species in a disturbed  
553 stand, even ones subject to extreme perturbation. Biological legacies also can accelerate the  
554 rate at which disturbed areas can be recolonized by organisms that are initially lost from  
555 disturbed forests. The key roles and functions of biological legacies can be undermined by  
556 post-disturbance management practices such as salvage logging and black-out burning.



557 Understanding the types, abundances, and spatial patterns of biological legacies that remain  
558 after natural disturbance can provide a template for the biological legacies that should be  
559 retained within cutblocks targeted for timber harvesting.

560 Key actions to enhance the management of early successional forests include: **(1)**

561 Identify species typically associated with early successional forests. **(2)** Avoid or limit post-  
562 disturbance activities like salvage logging that undermine the ecological values of, and  
563 ecosystem processes in, early successional forests. And, **(3)** Balance the relative amounts of  
564 early successional versus late successional forest in a given landscape or region.

565 Paradoxically, in some forest ecosystems, the development of an ecologically functional early  
566 successional forest will be dependent on ensuring there are large areas of late successional  
567 forest in the landscape that will support large numbers of biological legacies in the event of a  
568 major natural disturbance (such as a wildfire).

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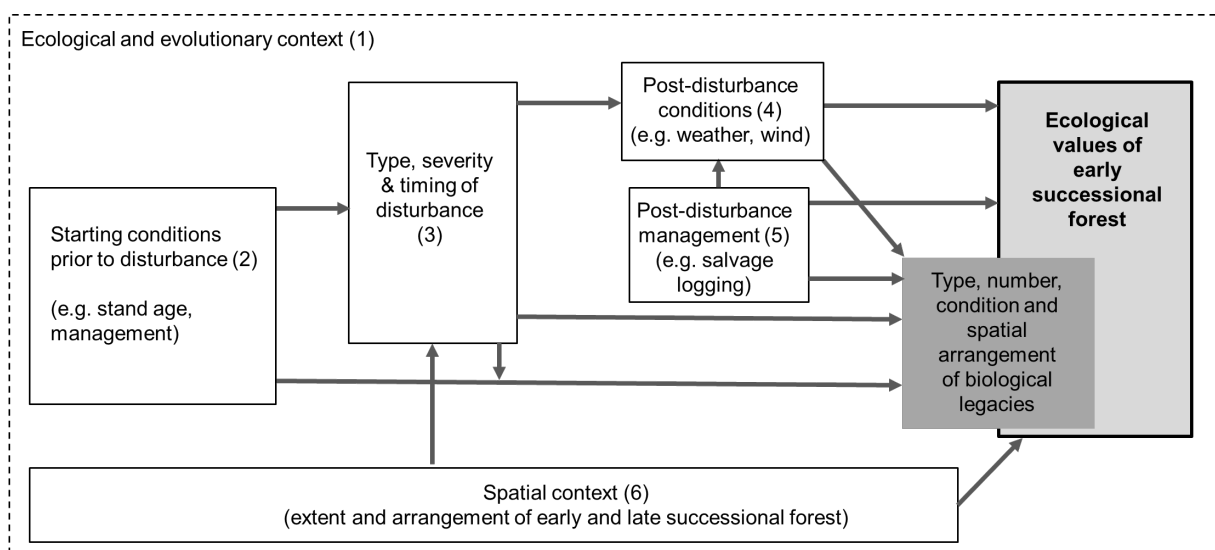
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942 **Figures and captions**

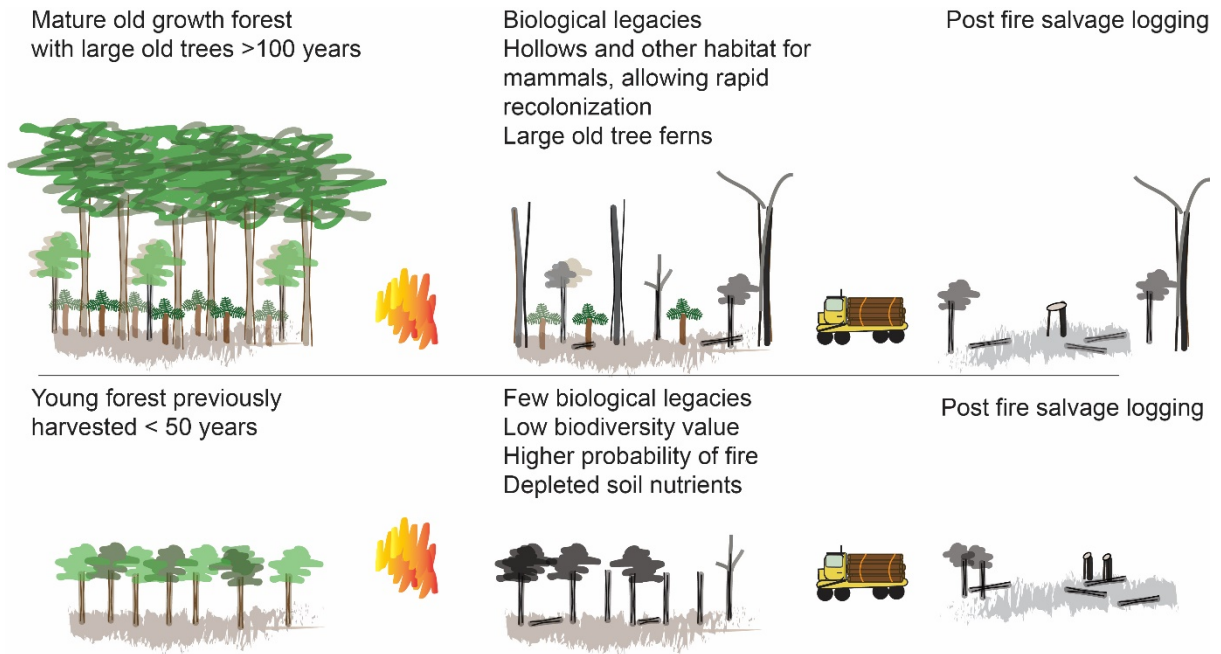
943 **Figure 1.** Conceptual model showing the six interacting factors (each of which are  
 944 numbered) influencing biodiversity, habitat suitability and ecosystem processes in early  
 945 successional forests where stand-replacing natural disturbances are a predominant component  
 946 of the natural disturbance regime. The model shows the broad environmental domain for  
 947 early successional species. Within that domain, ecological processes and biodiversity can be  
 948 affected by interactions between the type and severity of disturbance, pre- disturbance  
 949 (starting) conditions, the type of disturbance, post-disturbance conditions, post-disturbance  
 950 management practices, and the spatial extent of early versus late successional forest.  
 951 Biological legacies are a critical element through which many of these factors influence the  
 952 ecological values of early successional forest.



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955 **Figure 2.** Simplified schematic showing differences in biological legacies between burned  
956 old versus young forest.



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